



TRANSMITTAL LETTER			Case No. 9683/100
Trademark No. 10/031,291	Filing Date January 14, 2002	Examiner To Be Assigned	Group Art Unit 2131
Inventor(s) Takayuki Nakajima			
Title of Invention Authentication System and Related Method			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Information Disclosure Statement (in duplicate); Form PTO-1449; Seven (7) Cited References (A1-A7); Three (3) Translations of References (A4-A6); Copy of International Search Report; and Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

or

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

Respectfully submitted,

Gregory H. Zavja

Gregory H. Zavja
Registration No. 48,059
Agent for Applicant

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 21, 2002.

Date:

June 21, 2002

Signature:

Gregory H. Zavja

Gregory H. Zavja
Registration No. 48,059
Agent for Applicant

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on June 21, 2002

Date of Deposit

Gregory H. Zayia - Reg. No. 48,059

Name of applicant, assignee or
Registered Representative

Gregory Zayia

Signature

June 21, 2002

Date of Signature

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Our Case No.: 9683/100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takayuki Nakajima

Examiner: To Be Assigned

Serial No.: 10/031,291

Group Art Unit No.: 2131

Filing Date: January 14, 2002

For: Authentication System and Related
Method

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents A1-

A7 listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. A copy of the International Search Report is also enclosed.

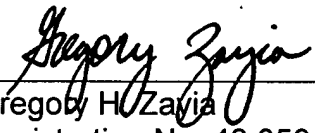
Applicant notes that PCT international patent publication A2 corresponds to European patent publication A3. Inasmuch as document A3 is in English, the corresponding PCT document A2 has not been translated.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct the fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

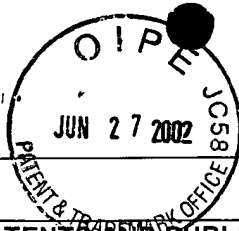
Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Gregory H. Zavala
Registration No. 48,059
Agent for Applicant

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/031,291	CASE NO. 9683/100
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 14, 2002	GROUP ART UNIT 2131
(use several sheets if necessary)	APPLICANT(S): Takayuki Nakajima	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO
	A1	EP 0 848 360 A1	06/17/1998	EPO			X
	A2	WO 98/21677	05/22/1998	PCT			X
	A3	EP 0 910 028 A1	04/21/1999	EPO			X
	A4	WO 01/09807 A1	02/08/2001	PCT		X	
	A5	10-56449	02/24/1998	Japan		X	
	A6	9-204480	08/05/1997	Japan		X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A7	Ashlee Vance, "Cell phones to become virtual wallets", InfoWorld, Vol. 23, No. 4, p. 52B

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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.